

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
19734

In Re Application Of: **Kazunori Ozawa**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/576,156	April 17, 2006	Unassigned	23389	Unassigned	Unassigned

Title:

**MEDIUM SIGNAL TRANSMISSION METHOD, RECEPTION METHOD, TRANSMISSION/RECEPTION METHOD, AND DEVICE**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
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**February 28, 2007**  
(Date)

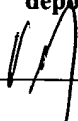
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Signature of Person Mailing Correspondence

**Paul J. Esatto, Jr.**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: **February 28, 2007**

  
\_\_\_\_\_  
Signature

**Paul J. Esatto, Jr.**  
Registration No. 30,749  
Scully, Scott, Murphy & Presser, P.C.  
400 Garden City Plaza, Suite 300  
Garden City, New York 11530  
516-742-4343

cc:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	Kazunori Ozawa	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	10/576,156	<b>Art Unit:</b>	Unassigned
<b>Filed:</b>	April 17, 2006	<b>Docket:</b>	19734
<b>For:</b>	MEDIUM SIGNAL TRANSMISSION METHOD, RECEPTION METHOD, TRANSMISSION/RECEPTION METHOD, AND DEVICE	<b>Dated:</b>	February 28, 2007

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

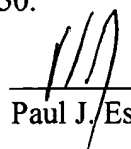
In connection with the above-identified patent application, please find enclosed herewith a Korean Official Action dated January 29, 2007 together with a translation of the Korean Official Action into Japanese and an English language translation of the Examiner's comments regarding the references. Please note that Cited Invention 1 (JP 14-354064 A), Cited Invention 2 (JP 07-170290 A) and Cited Invention 3 (JP 14-084339 A) were filed in an Information Disclosure Statement dated April 17, 2006.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

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Dated: February 28, 2007


  
\_\_\_\_\_  
Paul J. Esatto, Jr.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Official Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Further, inasmuch as this Information Disclosure Statement is also being submitted in accordance with the schedule set out in 37 C.F.R. § 1.704(d), a statement is attached.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	Kazunori Ozawa	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	10/576,156	<b>Art Unit:</b>	Unassigned
<b>Filed:</b>	April 17, 2006	<b>Docket:</b>	19734
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Alexandria, VA 22313-1450

**STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)**

Sir:


I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

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Dated: February 28, 2007

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. §1.704.

Respectfully submitted,



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